

TRW 2153



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: **Agarwalla et al.**

Serial No.: **09/960,448**

Filed: **September 21, 2001**

For: **Method and Apparatus for  
Caching Subscribed and Non-  
Subscribed Content in a Network  
Data Processing System**

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Group Art Unit: **2153**

Examiner: **Kevin S. Parton**

Attorney Docket No.: **RSW920010142US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)  
I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 30, 2005.  
By: Louise Fay  
Louise Fay

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:  
ENCLOSED HEREWITH:

- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AB; and
- Our return postcard.

A fee of \$180.00 is believed to be necessary. I authorize the Commissioner to charge these fees required to IBM Corporation Deposit Account No. 09-0461. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0461.

Respectfully submitted,

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36736

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER



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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**36736**  
PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to IBM Corporation Deposit Account No. 09-0461. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to IBM Corporation Deposit Account No. 09-0461.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which

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- may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed after the mailing date of a final action or a notice of allowance, on or before the payment of the issue fee.

No item of information cited in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please charge the required fee of \$180.00 as set forth in § 1.17(p) to IBM Corporation Deposit Account No. 09-0461.

Respectfully submitted,

Date: August 30, 2005

James O. Skarsten  
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Form PTO-1449

LIST OF PRIOR ART CITED  
BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.  
RSW920010142US1SERIAL NO.  
09/960,448APPLICANT **Agarwalla et al.**FILING DATE **September 21, 2001**GROUP ART UNIT **2153**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 6,868,448 B1	Mar. 15, 2005	Gupta et al.	709/226	Mar. 12, 1999
	AB 6,871,213 B1	Mar. 22, 2005	Graham et al.	709/205	Oct. 11, 2000

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

## OTHER PRIOR ART (including author, title, date, pertinent page, etc.)


## RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO/ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.